

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)ATTY DOCKET NO.  
**13408.00007**APPLICATION NO.  
**NYA**APPLICANT  
**RON DEMBO, ET AL.**FILING DATE  
**March 20, 2001**GROUP  
**NYA**Submitted to the PTO: **March 20, 2001**

U.S. PATENT DOCUMENTS

 0918 U.S. PTO  
 09/811684  
 03/20/01

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
H TD	4,346,442	8/82	Musmanno	364	408	
	4,642,768	2/87	Roberts	364	408	
	4,694,397	9/87	Grant, et al.	364	408	
	4,752,877	6/88	Roberts, et al.	364	408	
	4,744,026	5/88	Vanderbei	364	402	
	4,744,027	5/88	Bayer, et al.	364	402	
	4,744,028	5/88	Karmarkar	364	402	
	4,797,839	1/89	Powell	364	554	
	4,674,044	6/87	Kalmus, et al.	364	408	
	5,101,353	3/92	Lupien, et al.	364	408	
	4,953,085	8/90	Atkins	364	408	
H TD	5,148,365	9/92	Dembo ✓	364	402	
	5,799,287	8/98	Dembo	706	36	
	4,346,442	8/82	Musmanno	364	408	
EXAMINER				DATE CONSIDERED		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 1 of 2

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FORM PTO 1449 (modified)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)  Submitted to the PTO: <b>March 20, 2001</b>				ATTY DOCKET NO. <b>13408.00007</b>		APPLICATION NO. <b>NYA</b>	
				APPLICANT <b>RON DEMBO, ET AL.</b>			
				FILING DATE <b>March 20, 2001</b>		GROUP <b>NYA</b>	
FOREIGN PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
H7D		0,401,203	12/90	Europe	G06F	15/24	Yes
		JP3,103,966	4/91	Japan	G06F	15/20	Abstract
		JP3,189,862	8/91	Japan	G06F	15/20	Abstract
		JP3,077,163	4/91	Japan	G06F	15/20	Abstract
		WO 92/15953	9/92	PCT	G06F	15/21	Yes
		WO 92/15064	9/92	PCT	G06F	15/30	Yes
H7D		0,686,926A2	12/95	Europe	G06F	17/60	Yes
↓		0,573,991A1	12/93	Europe	G06F	15/30	Yes
		WO 98/54666	12/98	PCT	G06F	17/60	Yes
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
H7D		"Data Compression Techniques For Stock Market Prediction", Salman Azbar, et al., 1994 IEEE.					
↓		"Hedging Tools For Investment Professionals", March 1989, Wall Street Computer Review.					
EXAMINER <b>H7D am</b>				DATE CONSIDERED <b>4/14/03</b>			

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